

**VITA**  
**Xinyu Hua**

Dept. of Economics  
School of Business and Management  
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**Current Position**

Associate Professor  
Department of Economics  
School of Business and Management  
Hong Kong University of Science and Technology

**Education**

Kellogg School of Management, Northwestern University, 2005  
Ph.D., Managerial Economics and Strategy  
Peking University, 1998  
B.A., Economics

**Non-Academic Working Experience**

Bank of China, Beijing, China, 1998-1999

**Fields of Research Interests**

Industrial Organization, Law and Economics

**Professional Services**

Associate Editor, RAND Journal of Economics (Oct 2020 – Sep 2023)

**Publications**

- “Settling Lawsuits with Pirates,” Xinyu Hua and Kathryn E. Spier, *International Economic Review*, 2023, Vol. 64, pp. 543-575.
- “Indemnification, Monitoring, and Competition: Evidence from R&D Contracts,” Di Guo, Xinyu Hua, and Kun Jiang, *American Law and Economics Review*, 2022, Vol. 24, pp. 203-246.
- “International Protection of Consumer Data,” Yongmin Chen, Xinyu Hua, and Keith Maskus, *Journal of International Economics*, 2021, Vol. 132.
- “Product Safety, Contracts, and Liability,” Xinyu Hua and Kathryn E. Spier, *RAND Journal of Economics*, 2020, Vol. 51: pp. 233-259.
- “Competition, Product Safety, and Product Liability,” Yongmin Chen and Xinyu Hua, *Journal of Law, Economics, and Organization*, 2017, Vol. 33: pp. 237-267.
- “Agency and Strategic Contracts: Theory and Evidence from R&D Agreements in the Pharmaceutical Industry,” Di Guo, Xinyu Hua, and Kun Jiang, *International Journal of Industrial Organization*, 2017, Vol. 54: pp. 37-64.

- “Ex ante Investment, Ex post Remedies, and Product Liability,” Yongmin Chen and Xinyu Hua, *International Economic Review*, 2012, Vol. 53: pp. 845-866.
- “The Right of First Offer,” Xinyu Hua, *International Journal of Industrial Organization*, 2012, Vol. 30: pp.389-397.
- “Product Recall and Liability,” Xinyu Hua, *Journal of Law, Economics, and Organization*, 2011, Vol. 27: pp. 113-136.
- “Strategic Ex-ante Contracts: Rent Extraction and Opportunity Costs,” Xinyu Hua, *RAND Journal of Economics*, 2007, Vol. 38: pp. 786-803.
- “Information and Externalities in Sequential Litigation,” Xinyu Hua and Kathryn E. Spier, *Journal of Institutional and Theoretical Economics*, 2005, 161(2): pp. 215-232.

### **Selected Working Papers**

- “Holding Platforms Liable,” Xinyu Hua and Kathryn Spier, under review
- “Multi-Market Firms and Product Liability: Uniform v.s. Variable Rules,” Yongmin Chen and Xinyu Hua, under review
- “Platform Safety: Strict Liability versus Negligence,” Xinyu Hua and Kathryn Spier
- “Advocates, Information Transmission, and Sequential Search,” Xinyu Hua
- “The Effects of Strategic Break-up Fees on Cooperative Investments,” Xinyu Hua

### **List of Co-authors**

- Yongmin Chen, Professor, University of Colorado, Boulder (Other Relationship: None)
- Di Guo, previously employed by Brunel University London and the University of Hong Kong (Other Relationship: None)
- Kun Jiang, Associate Professor, University of Nottingham (Other Relationship: former Ph.D. student)
- Keith Maskus, Professor, University of Colorado, Boulder (Other Relationship: None)
- Kathryn Spier, Professor of Law, Harvard University (Other Relationship: Advisor)

### **Teaching Experience**

School of Business and Management, HKUST

- Managerial Microeconomics (MBA and EMBA)
- Anti-trust Economics and Competition Policy (MSc)
- Competitive Strategy (MBA/MSc)
- Strategy and Organization (MBA/MSc/EDP)
- Frontiers of Economics Research (DBA)
- Integrated Business Learning (EMBA)

Kellogg School of Management, Northwestern University

- Statistical Methods for Management Decisions (MBA), 2004 and 2005

### **Teaching Awards and Nominations**

School of Business and Management, HKUST

- Winner, Franklin Prize for Excellence in Teaching (MBA required course), 2020
- Winner, Franklin Prize for Excellence in Teaching (MBA/MSc electives), 2016

- Finalist, Franklin Prize for Excellence in Teaching (MBA required course), 2015
- Winner, Franklin Prize for Excellence in Teaching (MBA required course), 2011
- Winner, Franklin Prize for Excellence in Teaching (MBA/MSc electives), 2008
- Finalist, Franklin Prize for Excellence in Teaching (MBA/MSc electives), 2006

Kellogg School of Management, Northwestern University

- Doctoral Teaching Award for Distinction in Teaching, 2005

### Research Grants

- *PI*, Hong Kong Research Grants Council, General Research Fund #16500722  
Project Title: “Platform Liability”  
Duration: Jan 2022-Dec 2024
- *PI*, Hong Kong Research Grants Council, General Research Fund #16501019  
Project Title: “Market Difference, Product Safety, and Liability Regimes”  
Total Fund: HKD 246,974  
Duration: Jan 2020- Jun 2022
- *PI*, Hong Kong Research Grants Council, General Research Fund #16513916  
Project Title: “Agency and Indemnification”  
Total Fund: HKD 255,250  
Duration: Jan 2017- Jun 2019
- *PI*, Hong Kong Research Grants Council, General Research Fund #691113  
Project Title: “Strategic Contracts and Agency”  
Total Fund: HKD 325,000  
Duration: Jan 2014- Jun 2016
- *PI*, Hong Kong Research Grants Council, General Research Fund #642211  
Project Title: “Innovation, Safety, and Product Liability”  
Co-I: Yongmin Chen  
Total Fund: HKD 241,600  
Duration: Jan 2011- Jun 2013
- *PI*, Hong Kong Research Grants Council, General Research Fund #642008  
Project Title: “Product Recall and Liability”  
Total Fund: HKD 286,996  
Duration: Jan 2009 – Jun 2011
- *PI*, Hong Kong University of Science and Technology, SBI Grant #06/07.BM03  
Project Title: “Ex-ante Contracts and Renegotiation”  
Total Fund: HKD 50,000  
Duration: Jan 2007 – Dec 2009
- *PI*, Hong Kong University of Science and Technology, DAG Grant #05/06.BM18  
Project Title: “Incentive Contracts”  
Total Fund: HKD 90,000  
Duration: Dec 2005 – Nov 2008

### Conference and Seminar Presentations

- 2023: American Law and Economics Association Annual Meeting; Conference on Market Power at Chinese University of Hong Kong; Lingnan University
- 2022: The Econometrics Society Asia Meeting; Fudan University; Chinese University of Hong Kong
- 2021: University of Texas (Austin), Dongbei University of Economics and Finance
- 2020: HKUST
- 2019: The Annual Meeting of American Law and Economics Association, New York, U.S.  
The Asia-Pacific Industrial Organization Conference, Tokyo, Japan
- 2018: The 45<sup>th</sup> Annual Conference of the European Association for Research in Industrial Economics, Athens, Greece  
The 8th Annual Conference on Industrial Economics, Zhejiang University, China  
Workshop on Industrial Organization and Competition Policy, University of International Business and Economics, Beijing, China  
National University of Singapore, Singapore
- 2017: The HKUST Conference on Law and Economics
- 2016: The American Law and Economics Association Annual Meeting, Cambridge, USA  
The UIBE Workshop on Industrial Organization & Competition Policy, Beijing
- 2015: The European Association for Research in Industrial Economics 2015 Conference, Munich, Germany;  
Academia Sinica, Taipei.
- 2013: The American Law and Economics Association Annual Meeting, Vanderbilt;  
The 8<sup>th</sup> Conference on Industrial Economics and Economic Theory, Shandong University, Jinan.
- 2012: Summer Conference on Industrial Organization, Zhejiang University, Hangzhou;  
Yonsei University, Seoul
- 2011: The Asian Law and Economics Association Annual Meeting, Hong Kong
- 2010: Econometrics Society World Congress, Shanghai;  
American Law and Economics Association Annual Meeting, Princeton;  
The 8<sup>th</sup> Annual International Industrial Organization Conference, Vancouver;  
Fudan University, Shanghai;  
Shanghai University of Finance and Economics, Shanghai;  
University of Hong Kong, Hong Kong;  
Chinese University of Hong Kong, Hong Kong
- 2009: The 7<sup>th</sup> Annual International Industrial Organization Conference, Boston;  
Tsinghua University, Beijing
- 2008: The Summer Conference on Industrial Organization, Hong Kong;  
The North American Summer Meeting of the Econometric Society, Pittsburgh;  
The 6<sup>th</sup> Annual International Industrial Organization Conference, Arlington
- 2006: The Summer Workshop on Industrial Organization and Strategy, Beijing;  
University of Hong Kong, Hong Kong
- 2005: AEA Annual Meetings, Philadelphia;  
Conference on Contract Theory, University of California, San Diego;  
Chinese University of Hong Kong, Hong Kong
- 2004: Summer Meetings of Econometric Society, Evanston;

The 2<sup>nd</sup> Annual International Industrial Organization Conference, Chicago;  
Simon School of Business, University of Rochester

- 2003: Conference on Law and Economics, Georgetown University

### **Conference Organizing Services**

- Member of the local organizing committee, the Asian Pacific Industrial Organization Conference, 2023
- Organizer/Co-Organizer, the Conference on Industrial Organization at HKUST, Hong Kong, 2008, 2017, 2018, 2019
- Organizer, The HKUST Conference on Law and Economics, Hong Kong, 2017
- Treasurer, the Asian Law and Economics Association, 2011
- Member, Program Committee, The Asian Law and Economics Association Annual Meeting, 2011

### **Services at HKUST**

- School of Business and Management, HKUST
  - Member, MBA Program Committee, since Jan 2019
  - Member, DBA Committee, since Sep 2020
  - Member, Search Committee for Associate Dean, Apr-Jun 2022
  - Member, Search Committee for Department Head of Economics, Mar-Oct 2022
  - Member, Search Committee for Department Head of Economics, Mar-Oct 2019
  - Member, Selection Committee for Franklin Teaching Award and School Service Award, Jan 2016 – Dec 2019
  - Member, Business School Task Force on China Development, Sep 2013-April 2014
  - Faculty Representative, for the Quality Assurance (QAC) Audit, 2010
- HKUST GZ Campus
  - Acting Head, MBA+ Thrust, Apr 2021 to Mar 2023
  - Acting Co-head, MBA+ Thrust, Jan-Mar 2021
  - Member, the Target Of Opportunity Faculty Hiring Committee, since Mar 2021
  - Member, the Residential Sub-board, Apr 2020 – Jun 2021
  - Member, Society Hub Administration Committee, Jan 2020 to Mar 2023
  - Member, Society Hub Admission Committee, Jan 2020 to Mar 2023
- Department of Economics, HKUST
  - Chair, Substantiation and Promotion Committee, since Aug 2023
  - Chair, Search Committee, Aug 2013 – Jul 2015
  - Director, MSc Program in Economics, Jan 2008 – Dec 2010
  - Member, Substantiation and Promotion Committee, since Aug 2012
  - Member, Academic Review Committee, Sep 2012-Jun 2017, and since Jul 2019
  - Member, PG Committee (MSc & MBA), since Aug 2005
  - Member of other departmental committees including UG Committee, PG Committee, Executive Committee, Brownbag Seminar Committee, Safety Committee, in different years

### **Consulting Services**

- Anti-trust consulting projects for the Anti-trust Authority in China
- Consulting project for one auto-fuel company in Hong Kong